

Company Application Form

Section 1 – Company

1. Legal entity name (no abbreviations):
2. Account currency: USD EUR GBP JPY
5. Registered Address:
6. Directors/Shareholders (include % owned)

7. E-mail Address:

8. Telephone Number: Office:
Office:
Mobile:

9. Preferred Method of Contact: E-mail Office Mobile

Section 2 – Regulatory Status

11. Is the legal entity regulated by a local Banking or Securities regulator:
12. State the country and the name of the regulator:
13. State the registration number and date acquired:

Section 3 – Financial

16. Bank Name:
17. Bank Address:
18. Name of Bank Account
19. Account Number:
20. SWIFT/BIC/IBAN:
21. Initial deposit size:

Section 4 – Previous Trading Experience

28. On average, how often in a month do you trade? And what is the average trade size?	Ave. Trades per Month	Ave. Deal Size (\$)
<ul style="list-style-type: none"> - Spot or Forward FX - Commodities - Options - Shares - CFDs 		
29. Which companies have you dealt with? Was this on an execution only basis?	Company Name(s)	Execution Only
<ul style="list-style-type: none"> - Spot or Forward FX - Commodities - Options - Shares - CFDs 		<ul style="list-style-type: none"> <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No <input type="radio"/> Yes <input type="radio"/> No
30. What are your investment objectives? please tick one or both	<input type="checkbox"/> Speculation <input type="checkbox"/> Hedge against other investments	
31. When did you last trade?	(mm/yy)	

Corporate Resolutions Authorizing Foreign Exchange Trading (and CFD trading)

Corporations and other Counterparties which have a governing body (for example, a Board of Directors) are required to have such governing body adopt the resolutions set forth below authorizing trading of foreign exchange on the Abshire-Smith trading platform(s) and to submit to Abshire-Smith Global Ltd copies of such resolutions.

Alternatively, Companies may use their own form of authorizing resolution if acceptable to Abshire-Smith Global Ltd.

Resolutions of _____ (The "Company") Authorising Foreign Exchange trading and CFD trading, dated _____.

- 1.1 Abshire-Smith Global Ltd offers an electronic foreign exchange and cfd trading service to clients. The Company intends to use this for foreign exchange and cfd transactions.
- 1.2 The Company has reviewed the relevant account opening documents
- 1.3 After due and careful consideration, it was resolved that the Company accept the agreement and that, in connection therewith any one or more of the directors of the Company each be authorized, on behalf of the company to:
 - (a) Approve, execute and deliver the agreement and all documents in connection therewith and do anything else permitted to be signed or done by such directors;
 - (b) Do or procure to be done all acts or things of whatever nature which may, in such director's opinion be necessary, desirable or expedient in connection with the entering into and performance by the Company of its obligations under or in connection with the agreement including, without limitation, the opening and maintenance of accounts with Abshire-Smith Global Ltd for the purpose of trading foreign exchange and cfd, on margin or otherwise, and the approval, execution and delivery on behalf of the Company of any further documents, deeds or notices which may be necessary, desirable or expedient in relation to such accounts;
- 1.4 The Company further authorizes each director may designate from time to time in writing, to act on behalf of the Company in instructing Abshire-Smith Global Ltd in relation to the trading of foreign exchange and cfd transactions through the Abshire-Smith system, and wen applicable through other mediums.

I confirm that the information represented here is true and accurate. I agree to inform you promptly in writing should any of the information contained here cease to be correct.

We are sure that foreign exchange and cfd trading is suitable for us and we have been advised of our ability to seek independent financial advice if necessary.

Signature:

Position:

Date (Day/Month/Year):

We will rely solely on the information provided here in assessing your customer classification. It is therefore essential that if any of these details should change, you inform us of this in writing immediately so that we can reassess your classification.



Please post all documents to:

Account Opening

**Abshire-Smith Global Ltd
Communications House
26 York Street
London
W1U 6PZ**

For fast processing please scan/return the completed forms and supporting documentation by email to compliance@abshire-smith.com and info@abshire-smith.com

Please note that if you send your application via email you still need to submit the original forms within 14 days by standard mail.